

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,357	10/31/2001	Jerome T. Hartlaub	1738.00045

CONFIRMATION NO. 2290

 22908  
 BANNER & WITCOFF, LTD.  
 TEN SOUTH WACKER DRIVE  
 SUITE 3000  
 CHICAGO, IL 60606

## FORMALITIES LETTER



\*OC00000007244736\*

Date Mailed: 12/31/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

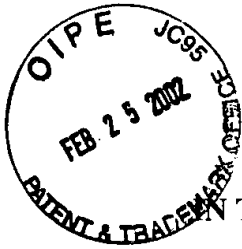
*A copy of this notice **MUST** be returned with the reply.*

 May  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10001357 00000000



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 11738.00045)

In the Application of:

Jerry Hartlaub

Serial No.: 10/001,357

Filing Date: October 31, 2001

For: NON-CONFORMANCE MONITORING AND  
CONTROL TECHNIQUES FOR AN IMPLANTABLE  
MEDICAL DEVICE

Examiner: n/a

Group Art Unit: 3763

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed December 31, 2001, applicant hereby submits eight (8) sheets of substitute formal drawings (Figs. 1-11).

Respectfully submitted,

Banner & Witcoff, Ltd.

Dated: February 25, 2002

By: 

Binal J. Patel  
Reg. No. 42,065



**CERTIFICATE OF MAILING  
(PATENT)**

Express Mail No. EL942515973US

Deposited: February 25, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

By: ERICK SILVA

Application of: Jerry Hartlaub

Application No.: 10/001,357

Filing Date: October 31, 2001

Title: Non-Conformance Monitoring And Control Techniques For An  
Implantable Medical Device

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Response copy of Notice to File Corrected Application Papers (1 page)
- X Response to Notice to File Corrected Application Papers (1 page)
- X Substitute formal drawings (8 pages) Figs. 1-11
- X Return Postcard

Attorney Case No.: 11738.00045

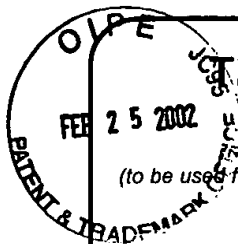
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/001,357

Filing Date

October 31, 2001

First Named Inventor

Jerome T. Hartlaub

Group Art Unit

3763

Examiner Name

n/a

Attorney Docket Number

11738.00045

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Response copy of Notice to File  
Corrected Application Papers (1  
page)

Return Receipt Postcard

Remarks

The Commissioner is hereby authorized to charge any  
deficiencies in payment or credit any overpayment to our  
Deposit Account No. 01-0850.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Binal J. Patel

Signature

Date

February 25, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope  
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Typed or printed name

Signature

Date

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Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for  
Patents, Washington, DC 20231.